

600.090 PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

AKIYAMA et al.

Examiner:

Hindi, Nabil Z.

Serial No.:

10/600,090

Group Art Unit:

2655

Filed:

June 20, 2003

Docket No.:

10873.685USD2

Title:

OPTICAL INFORMATION RECORDING MEDIUM, AND METHOD

AND APPARATUS FOR RECORDING/REPRODUCING

INFORMATION THEREON

CERTIFICATE UNDER 37 CFR 1.10:

"Express Mail" mailing label number: EV 408491955 US

Date of Deposit: November 5, 2004

I hereby certify that this paper or fee is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Commissioner for Patents, Mail Stop Issue Fee, P.O. Box 1450, Alexandria, VA 22313-1450.

By: ///
Name: David Ortiz

INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(d))

Mail Stop Issue Fee

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner. At least some of the references were recently cited in a European Search Report mailed October 14, 2004.

This statement should be considered because it is submitted after the mailing date of a final action under 37 C.F.R. § 1.113 or after the mailing date of the Notice of Allowance under 37 C.F.R. § 1.311 or after any other action that closes prosecution on the application, but before the payment of the issue fee. Enclosed is a check in the amount of \$180 for the fee set forth in 37 C.F.R. § 1.17(p). This statement is submitted <u>as certified below</u> under 37 C.F.R. §1.97(e)(1) or (2) by the undersigned.

11/10/2004 GWDRDOF1 00000002 10600090

01 FC:1806

180.00 OP

Certification Under 37 C.F.R. §1.97(e)(1)

In accordance with 37 C.F.R. §1.97(c) or §1.97(d), the undersigned hereby certifies that each item of information listed on the enclosed Form 1449 was first cited in a communication from a foreign patent office in a counterpart foreign application or by the Examiner in corresponding co-pending U.S. patent application Serial No. 10/600,089, filed June 20, 2004 within three months of filing this statement.

In accordance with 37 C.F.R. §1.98(a)(2), a copy of each document or other information listed on the enclosed Form 1449 is provided.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge any additional fees or credit any overpayment to Deposit Account No. 13-2725.

Respectfully submitted,

MERCHANT & GOULD P.C. P.O. Box 2903 Minneapolis, MN 55402-0903

(612) 332-5300

Dated: November 5, 2004

P. Mueller Reg. 4No. 30,300

DPM/jh

| FORM 1449* | Docket Number: | Application Number: | | |
|---|----------------------------|----------------------|--|--|
| INFORMATION DISCLOSURE STATEMENT | 10873.685USD2 | 10/600,090 | | |
| in an application | Applicant: AKIYAMA et al. | | | |
| 5. 2004 (est several sheets if necessary) | Filing Date: June 20, 2003 | Group Art Unit: 2655 | | |

| CAPENTS. | RADE | | U.S. PATENT DOCUME | NTS | | | |
|---------------------|--------------|----------|-----------------------------|-------------------|--------------|-------------------------------|------------|
| EXAMINER INITIAL | DOCUMENT NO. | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE | |
| | 6,002,655 | 12.1999 | Ono et al. | | | | |
| | 6,370,091 | 04.2002 | Kuroda | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | <u> </u> | FO | REIGN PATENT DOCUM | MENTS | | | <u>-</u> . |
| | DOCUMENT NO. | DATE | COUNTRY | 1 | SUBCLASS | TRANSLATION | |
| | | | | | | YES | NO |
| | 0 549 488 | 06.1993 | EP | | | | |
| | 98/58368 | 12.1998 | wo | | | | |
| | 0 802 527 | 10.1997 | ЕР | | | | |
| | OTHER | DOCUMENT | S (Including Author, Title, | Date, Pertinent F | Pages, Etc.) | | |
| | | | , , , | | . , | | |
| | | | | | | | |

23552
PATENT TRADEMARK OFFICE

| EXAMINER | DATE CONSIDERED |
|----------|-----------------|
| | |

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.